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PTO/SB/05 (08-03)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	Wellen 6
First Inventor	Wellen
Title	Method, Apparatus and System for the Communication of Services in an Optical Access Network
Express Mail Label No.	EV367980930US

22859 U.S. PTO
10/808683



APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification/Cover [Total Pages 13]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Formal Drawings (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 4]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: *permitted to be Abandoned*

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: ____ / ____
Prior application information: Examiner ____ Group / Art Unit: ____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label 26291 or ☐ Correspondence address below
(Insert Customer No. or Attach bar code label here)

Name	Moser, Patterson & Sheridan, LLP				
Address	595 Shrewsbury Avenue				
	Suite 100				
City	Shrewsbury	State	NJ	Zip Code	07702
Country	USA	Telephone	(732)530-9404	Fax	(732)530-9808

Name (Print/Type)	Eamon J. Wall	Registration No. (Attorney/Agent)	39,414
Signature	<i>E J Wall</i>	Date	<i>8/25/04</i>

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon on the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2004

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 896

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Herewith
First Named Inventor	Wellen
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	Wellen 6

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

 Deposit Account Number
20-0782

 Deposit Account Name
Moser, Patterson & Sheridan, LLP

The Director is authorized to: (Check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385					Utility filing fee	770
1002	340	2002	170					Design filing fee	
1003	530	2003	265					Plant filing fee	
1004	770	2004	385					Reissue filing fee	
1005	160	2005	80					Provisional filing fee	

SUBTOTAL (1)

(\$ 770)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20 ** = 0	18	0
Independent Claims	4	-3 ** = 1	86
Multiple Dependent			0

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	18	2202	9					Claims in excess of 20
1201	86	2201	43					Independent claims in excess of 3
1203	290	2203	145					Multiple dependent claim, if not paid
1204	86	2204	43					** Reissue independent claims over original patent
1205	18	2205	9					** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$ 86

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65					Surcharge - late filing fee or oath	
1052	50	2052	25					Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130					Non-English specification	
1812	2,520	1812	2,520					For filing a request for reexamination	
1804	920*	1804	920*					Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*					Requesting publication of SIR after Examiner action	
1251	110	2251	55					Extension for reply within first month	
1252	420	2252	210					Extension for reply within second month	
1253	950	2253	475					Extension for reply within third month	
1254	1,480	2254	740					Extension for reply within fourth month	
1255	2,010	2255	1,005					Extension for reply within fifth month	
1401	330	2401	165					Notice of Appeal	
1402	330	2402	165					Filing a brief in support of an appeal	
1403	290	2403	145					Request for oral hearing	
1451	1,510	2451	1,510					Petition to institute a public use proceeding	
1452	110	2452	55					Petition to revive - unavoidable	
1453	1,330	2453	665					Petition to revive - unintentional	
1501	1,330	2501	665					Utility issue fee (or reissue)	
1502	480	2502	240					Design issue fee	
1503	640	2503	320					Plant issue fee	
1460	130	1460	130					Petitions to the Commissioner	
1807	50	1807	50					Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180					Submission of Information Disclosure Stmt	
8021	40	8021	40					Recording each patent assignment per property (times number of properties)	40
1809	770	2809	385					Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385					For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385					Request for Continued Examination (RCE)	
1802	900	1802	900					Request for expedited examination of a design application	

Other fee (specify) _____

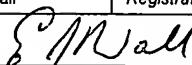
*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Eamon J. Wall	Registration No. Attorney/Agent	39,414	Telephone	(732)530-9404
Signature				Date	3/25/04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Concept House
Cardiff Road
Newport
South Wales
NP10 8QQ

22 March 2004

PERMIT TO FILE ABROAD
Section 23(1), Patents Act 1977

LUCENT TECHNOLOGIES NETWORK SYSTEMS UK LIMITED and

JEROEN SIEBRAND WELLEN

is/are hereby authorised to file or cause to be filed outside the United Kingdom an application
for a patent in respect of an invention called.

METHOD, APPARATUS AND SYSTEM FOR THE COMMUNICATION OF
SERVICES IN AN OPTICAL ACCESS NETWORK

and described in the documents submitted to this Office.


M G WILSON
for the Comptroller

DP Per 2/77